The prognosis of trabeculectomy in primary angle-closure glaucoma patients

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Abstract

● AIM: To evaluate whether the level of thrombospondin-1 (TSP-1) in aqueous humor can predict the prognosis of trabeculectomy in patients with primary angle-closure glaucoma (PACG).

● METHODS: This case-control study involved 26 patients with PACG who experienced a failed trabeculectomy (case group) and 78 age- and sex-matched patients with PACG who underwent successful trabeculectomy (control group). Aqueous humor was collected at the time of trabeculectomy and tested for TSP-1 and TGF-β2 levels with an enzyme-linked immunosorbent assay method. Logistic regression modeling was used to assess the risk factors for failed trabeculectomy.

● RESULTS: The mean TSP-1 aqueous concentrations were significantly higher in the case group (20.67±9.79 ng/mL) than the control group (5.17±2.29 ng/mL) (P<0.001). The transforming growth factor-β2 (TGF-β2) aqueous concentrations were significantly different between the case and control group, at 3633.25 and 1090.24 pg/mL, respectively (P<0.001). Logistic regression analysis revealed TSP-1 level as an independent risk factor for a failed trabeculectomy (OR=3.540; 95%CI=1.092-11.482).

● CONCLUSION: The aqueous humor TSP-1 level is an independent risk factor associated with failed trabeculectomy.

● KEYWORDS: thrombospondin-1; prognosis; primary angle-closure glaucoma

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INTRODUCTION

The World Health Organization estimates that glaucoma is the third leading cause of blindness worldwide, after cataract and uncorrected refractive errors. In China, glaucoma most commonly occurs as primary angle-closure glaucoma (PACG). Foster and Johnson[1] estimated that the number of blindness cases was 10 times higher in patients with PACG than with primary open angle glaucoma (POAG). Other population-based studies have estimated that about 6-7.5 million people suffer from PACG[2-3]. PACG patients with peripheral angle synechiae (PAS) >180 degrees are currently always recommended to undergo glaucoma filtering surgery to prevent progression of optic neuropathy[4]. A combination of trabeculectomy and the use of antifibroblastic agents, such as mitomycin-C (MMC), offers the ideal efficacy for the treatment of the glaucoma[5]. However, a fraction of glaucoma patients still fail to achieve target pressure without additional topical medication and even experience trabeculectomy failure despite continuous improvements in surgical techniques[6]. Existing evidence suggests that younger age[6], higher intraocular pressure (IOP)[7], any postoperative complication[7], and race[8] are risk factors for trabeculectomy failure. Nevertheless, other unknown risk factors most likely exist for this failure.

Trabeculectomy is carried out under the conjunctival and subconjunctival spaces; consequently, scarring of the conjunctiva and sclera after a trabeculectomy are the most important factors leading to surgical failure, as the resulting fibrosis ultimately impairs the function of the filtering bleb. One known mediator of myofibroblast transdifferentiation and fibrosis is transforming growth factor-β2 (TGF-β2), which has also been implicated in several reports in the activation of Tenon’s fibroblasts[9-12]. More importantly, the activation of proliferation of fibroblasts by TGF-β can also influence surgical results after trabeculectomy[13-14]. A potent activator of TGF-β, the matricellular protein thrombospondin-1 (TSP-1), acts by binding to the TGF-β
complex at the cell surface\textsuperscript{[15]}. Overexpression of TSP-1 in scleroderma and keloids correlates with increased TGF-\(\beta\) activity\textsuperscript{[16-17]}, which suggests that TSP-1 might also play roles in tissue repair and fibrosis. In the present study, we speculated that fibrosis at the site of glaucoma surgery may also be influenced by TSP-1 levels. Following trabeculectomy, the aqueous humor drains into the subconjunctival space and percolates to the conjunctival tissue. Thus, components in the aqueous humor might affect the outcomes of glaucoma surgery. In this regard, the aim was to measure the levels of TSP-1 and TGF-\(\beta\)2 in the aqueous humor at the time of trabeculectomy in PACG patients and to evaluate whether any association exists between TSP-1 levels and the success rate of trabeculectomy.

**SUBJECTS AND METHODS**

**Ethical Approval** The study protocol was approved by the Institutional Review Committee at Chenzhou First People’s Hospital, and all procedures complied with the tenets of the Declaration of Helsinki. Written informed consent was obtained from all the participants involved in the study.

**Study Design** This retrospective case-control study was performed on all patients with PACG who underwent trabeculectomy or trabeculectomy combined with phacoemulsification at Chenzhou First People’s Hospital between April 2014 and March 2017. The case group was composed of the patients with PACG who had experienced a failed trabeculectomy, conducted alone or combination with phacoemulsification, in our hospital. The controls were patients with PACG who had undergone a successful trabeculectomy, alone or combined with phacoemulsification. In order to increase the statistical power to detect a difference, we randomly selected three controls to each case ratio with the cases. If the patients received the surgery in both eyes, we only assessed the right eyes.

PACG was defined based on the following: 1) glaucomatous optic neuropathy; 2) visual field defect typical for glaucoma; 3) no pigmented trabecular meshwork visible on gonioscopy \(\geq 180^\circ\), along with the presence of PAS\textsuperscript{[18]}. In the case group, the eligibility criteria were 1) age \(\geq 18\)y; 2) phakic lens status; 3) a diagnosis of PACG with uncontrolled IOP of 22 mm Hg or more on maximal antiglaucoma medication; 4) experienced a failed trabeculectomy alone or combined with phacoemulsification. In the control group, the eligibility criteria were 1) age \(\geq 18\)y; 2) phakic lens status; 3) a diagnosis of PACG with uncontrolled IOP of 22 mm Hg or more on maximal antiglaucoma medication; 4) underwent a successful trabeculectomy alone or combined with phacoemulsification. Exclusion criteria for both groups were previous intraocular surgery history or laser procedures other than laser iridotomy, no MMC application, no light perception, other disease affecting the visual field that might be confused with glaucoma, or a follow-up period <1y. All included patients were Han Chinese people.

**Data Collection** Each medical record collected included the baseline clinical characteristics (IOP, best corrected visual acuity (BCVA), number of antiglaucoma medications, eye laterality and previous laser treatment), demographic data (age, sex, and presence of comorbid conditions), surgical data (the duration and concentration of MMC used, type of conjunctival flap, combined phacoemulsification, number of sutures, and releasable suture), postoperative data (IOP, BCVA, number of antiglaucoma medications, reoperation, and complications) at postoperative day 1, week 1, and months 1, 3, 6, and 12 from both the case and control groups.

**Aqueous Humor and Surgical Techniques** All patients with PACG who underwent trabeculectomy surgery between April 2014 and March 2017 underwent aqueous humor collection. After performing the conjunctival and sclera flap surgery, but before the trabeculectomy, around 100 \(\mu\)L aqueous humor was collected from the aqueous humor drain into the subconjunctival space and stored at \(-80^\circ\)C, protected from light, until it was assayed. Following peribulbar anesthesia, a fornix or limbal-based conjunctival flap was prepared in the superior temporal or nasal quadrant. A rectangular (5×4 \(\text{mm}^2\)) scleral flap (half of the sclera thickness) was then prepared. Prior to the trabeculectomy, MMC (0.25-0.33 mg/mL, 2-5min) was administered, with the duration and concentration of MMC being judged by the surgeon with regard to the risk for failed surgery. After 2-5min, the MMC was copiously irrigated with 200 mL of balanced salt solution. For the patients who underwent trabeculectomy combined with phacoemulsification, a 1.5 \(\text{mm}\) clear corneal side port incision at the 2-o’clock position and a 3.0 \(\text{mm}\) main clear corneal incision were made, followed by phacoemulsification of the cataract and implantation of the intraocular lens. This was followed by the trabeculectomy (2×2 \(\text{mm}^2\)), basal iridectomy, suturing of the scleral flap using 10/0 nylon sutures (2-5 sutures, some patients received releasable sutures), and suturing of the conjunctiva with water-tight 10/0 nylon sutures. After the surgery, topical corticosteroids combined with antibiotics (TobraDex, Alcon, Inc.) were administered every two to three hours a day and then, based on the degree of inflammation, this was replaced with 0.1\% pranoprofen eye drops.

**Evaluation Criteria** Surgical success was defined as a 5 mm Hg <IOP<21 mm Hg on 2 consecutive study visits after 3mo with (qualified success) or without (complete success) additional glaucoma medications. Failure was defined as IOP more than 21 mm Hg on 2 consecutive study visits after 3mo despite the use of maximal glaucoma medication. IOP less than 5 mm Hg on 2 consecutive study visits after 3mo, reoperation for glaucoma, loss of light perception vision, or devastating complications (endophthalmitis, suprachoroidal...
hemorrhage, retinal detachment) were also defined as failure. Reoperation for glaucoma was defined as additional glaucoma surgery requiring a return to the operating room (not including adjustment or removal of releasable sutures\(^{10,20}\)).

**TGF-β2 and TSP-1 Analyses** The levels of total (active plus latent) TGF-β2 and TSP-1 in the aqueous humor were measured with a commercially available human TGF-β2 Quantikine enzyme-linked immunosorbent assay (ELISA) Kit (R&D Systems, Minneapolis, MN, USA) with a sensitivity of 15.4 pg/mL and with a human TSP-1 Quantikine ELISA Kit (R&D Systems, Minneapolis, MN, USA) with a sensitivity of 0.944 ng/mL, respectively, following the manufacturer’s protocol. All samples were run in duplicate. In brief, the samples were pipetted into 96-well plates precoated with anti-human TGF-β2/TSP-1 antibody. After repeated incubation and washing and incubation with a second antibody and washing, the reaction was terminated with a stop solution. The absorbance at 450 nm was then immediately measured with a spectrophotometer (SpectraMax Gemini UMax; Molecular Devices, Sunnyvale, CA).

**Statistical Analysis** Statistical analyses were performed with SPSS 17.0 (SPSS, Chicago, IL, USA). For continuous variables with a skewed distribution, the Mann-Whitney \(U\) test was used for comparison of the case and control groups. For continuous variables with a normal distribution, the independent \(t\)-test was used. For categorical variables, the Chi-square test was used. Potential risk factors for failure of surgery were assessed by univariate logistic regression analyses performed for each covariate. Covariates with a probability value \(\leq 0.10\) in the univariate models were included in a multivariate model to investigate confounding factors. All \(P\) values less than 0.05 were considered statistically significant.

**RESULTS**

**Demographic and Clinical Characteristics** During the period of April 2014 and March 2017, 233 preliminarily enrolled patients with PACG (who met the inclusion and exclusion criterion) underwent trabeculectomy, alone or combined with phacoemulsification, in our hospital. In this period, we collected the aqueous humor of all PACG eyes from patients who underwent this surgery. Of these 233 patients, 65 patients were not assessed for different reasons, such as death, loss at follow up, other eye surgery, incomplete medical records, inability to contact the patient, etc. Among the remaining 168 patients, 26 patients underwent surgery failure at the one-year follow up. Interestingly, these 26 trabeculectomy patients all failed because of the bled scarring, not because of the devastating complications that occurred.

The characteristics of PACG patients in both the case and control groups are outlined in Table 1. This was an age and sex matched case-control study, with an age of 56.53±9.32y for the case group and 56.55±10.29y for the control group. Among the remaining 168 patients, 26 patients underwent surgery failure because of the devastating complications that occurred. We randomly selected 78 patients as controls. Ultimately, 104 patients were included in this study, and 104 aqueous humor samples were measured.

<table>
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<th>Case ((n=26))</th>
<th>Control ((n=78))</th>
<th>(P)</th>
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<td>Age, y</td>
<td>56.53±9.32</td>
<td>56.55±10.29</td>
<td>0.996(^a)</td>
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<tr>
<td>Sex</td>
<td>0.900(^b)</td>
<td></td>
<td></td>
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<tr>
<td>M</td>
<td>7 (26.9)</td>
<td>22 (28.2)</td>
<td></td>
</tr>
<tr>
<td>F</td>
<td>19 (73.1)</td>
<td>56 (71.8)</td>
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<td>Preoperative mean IOP (mm Hg)</td>
<td>30.38±8.57</td>
<td>31.22±7.55</td>
<td>0.634(^a)</td>
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<td>Preoperative mean glaucoma medication</td>
<td>2.92±0.69</td>
<td>3.09±0.54</td>
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<td>Mean MMC concentration (mg/mL)</td>
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<td>0.26±0.03</td>
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<td>3.59±0.78</td>
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<td>63 (80.8)</td>
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<td>10 (38.5)</td>
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<td>17 (65.4)</td>
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<td>12 (15.4)</td>
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<td>6 (23.1)</td>
<td>27 (34.6)</td>
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<td>≥4</td>
<td>14 (53.8)</td>
<td>39 (50.0)</td>
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<td>Releasable suture</td>
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<td>31 (39.7)</td>
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<td>No</td>
<td>22 (84.6)</td>
<td>47 (60.3)</td>
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\(^a\)Independent sample \(t\)-test; \(^b\)Chi-square test; IOP: Intracocular pressure; MMC: Mitomycin C.
statistically significant difference between the two groups. The preoperative mean glaucoma medication used and the mean MMC concentration and duration used during the surgery were comparable between the case and control groups. In addition, no significant difference was detected between the groups for conjunctival flap type, number of sutures in the scleral flap, the eye of the surgery, and the number of patients who underwent combined phacoemulsification surgery. However, the case group had a greater incidence of shallow anterior chamber and a lower rate of releasable suture use.

**TGF-β2 and TSP-1 Levels in Aqueous Humor** The TGF-β2 aqueous concentrations in the case and control group were 3633.25 and 1090.24 pg/mL, respectively. The level was approximately three times higher in the case group than in the control group, and the difference was statistically significant ($P<0.001$; Mann-Whitney U test; Figure 1A). Similarly, the mean TSP-1 aqueous concentrations were significantly higher in the case group (20.67±9.79 ng/mL) than in the control group (5.17±2.29 ng/mL; $P<0.001$; Mann-Whitney U test; Figure 1B).

**Risk Factors for Surgery Failure** The potential risk factors for failure of surgery were first investigated by univariate logistic regression analysis, which revealed that the PACG patients with higher TGF-β2 (OR=1.002; 95%CI=1.001-1.004) and TSP-1 (OR=2.483; 95%CI=1.485-4.152) levels in aqueous humor had an increased risk of surgery failure. A shallow anterior chamber after surgery (OR=5.370; 95%CI=1.751-16.467) and use of releasable sutures (OR=0.276; 95%CI=0.087-0.877) during the surgery also appeared to have a significant association with the prognosis of trabeculectomy (Figure 2). A multivariate logistic regression showed that only the variables of TGF-β2 and TSP-1 were included in the model. However, TSP-1 was the only independent risk factor for surgery failure. An increase in TSP-1 levels in the aqueous humor of 1 ng/mL increased the risk of surgery failure 3.54-fold (OR=3.540; 95%CI=1.092-11.482). Table 2 summarizes the details of the logistic regression analysis.

**DISCUSSION**

A fairly recent body of evidence has enhanced our understanding of the risk factors for trabeculectomy in treatment of glaucoma. Known factors usually include young age[6], black race[8], previous ocular surgery[21], secondary glaucoma[22], and use of multiple topical antiglaucoma drugs[21]. Patients with an increasing number of risk factors were more likely to suffer a failed surgery. On the contrary, patients who received an adjunctive antifibrotic agent and underwent releasable suture techniques were considered more likely to have a successful surgery[8,23]. In the present case-control study, we excluded other known risks and protective factors by only including patients with PACG who had phakic lenses, no history of ocular surgery, and had undergone adjunctive MMC treatment during the surgery. In addition, this was an age and sex matched case-control study, which helped to assess the other unknown risk factors.

To the best of our knowledge, this is the first study to explore the level of TSP-1 in the aqueous humor of patients with PACG and to explore whether this factor can predict the prognosis of those patients who undergo trabeculectomy. Our use of the ELISA method allowed us to detect the expression of TSP-1 and TGF-β2 in aqueous humor, and both showed markedly higher levels in case group than in the control group. This result implied that the TGF-β2 and TSP-1 levels in the aqueous humor might affect the efficacy of trabeculectomy in patients with PACG. We clarified whether TGF-β2 and TSP-1 in the aqueous humor were independent risk factors for trabeculectomy failure by conducting a multiple regression analysis. The result showed that TSP-1 was the factor most associated with failed trabeculectomy in these patients. Furthermore, an increase in the TSP-1 level in the aqueous humor of 1 ng/mL resulted in a 3.54-fold increase in the risk for surgery failure. This implied that the TSP-1 levels in the aqueous humor might predict the prognosis of trabeculectomy in patients with PACG.

This finding is not difficult to understand based on the characteristics of TSP-1. The failed trabeculectomy is most commonly due to episcleral fibrosis and excessive scar formation[24]. TGF-β, and especially TGF-β2, has been reported as a key cytokine with high correlation to subconjunctival fibrosis and bleb formation after filtration surgery[14]. A rabbit experiment by Mead et al[25] determined that a blockade of TGF-β2 with antibodies could effectively suppress conjunctival scarring after filtration surgery. TGF-β2 appeared to be the main link to scar formation, but other regulation factors, such as TSP-1 might also be involved. Sweetwyne and Murphy-Ullrich[15] confirmed that TSP-1 could bind to the latent TGF-β complex and sub sequentially stimulate TGF-β activation. In several diseases, researchers have found that an increase in TSP-1 expression can lead to an increase in activity of TGF-β; this indicates that TSP-1 is a primary regulator of TGF-β bioactivity[26-27]. TSP-1 was also expressed throughout the trabecular meshwork (TM)[28] and was detected in the aqueous humor[29]. This offers an explanation as to why TSP-1
was an independent risk factor of failed trabeculectomy in the present study. The patients who suffered from a trabeculectomy failure had the highest levels of TSP-1 in the aqueous humor. This TSP-1 might activate TGF-β, and especially the TGF-β2, which would then trigger bleb fibrosis and postoperative scarring, which would ultimately result in a non-successful surgery. Therefore, it is clear that the most significant inference from this study results from the trabeculectomy, which, in combination with monoclonal antibody-based therapeutics, might be a useful treatment for glaucoma in the future.

Unlike the case for high levels of TSP-1, we did not find TGF-β2 to be an independent risk factor for the surgery failure, even though the level of TGF-β2 in the case group was almost three times that of the control group. Previous literature has
proposed the overexpression of TGF-β2 as a main cause of excessive postoperative fibrosis, so this might be the main cause of the surgery failure observed in our case group. However, notably, we did not detect TGF-β2 as an independent risk factor for surgery failure in our multiple regression model. One potential explanation is that the increased TGF-β2 level occurs secondarily to the activation of the TSP-1. Another possibility is that the relatively small sample size of this study had insufficient power to detect a significant association.

Another point worth mentioning is that the baseline clinical characteristics of our patients were similar for both the case group (failure group) and the control group (success group), except for the incidence of a postoperative shallow anterior chamber and the use of releasable sutures. The univariate logistic regression analysis also showed that a postoperative shallow anterior chamber is a negative indicator for prognosis of trabeculectomy in patients with PACG, whereas the use of releasable sutures during the surgery is beneficial to this surgery. We propose the following as a possible mechanism to explain our findings: the postoperative shallow anterior chamber is usually accompanied by hypotony, which will cause a reduction in the flow of aqueous humor through the sclerostomy and into the bleb. This, in turn, would accelerate the adhesion process between the sclera and conjunctiva. Another possibility is that the postoperative shallow anterior chamber would cause an increase in the inflammatory response, which would ultimately increase the risk for bleb fibrosis and scarring.

The application of releasable sutures during the surgery was favorable to the outcome of trabeculectomy. This was not unexpected, as releasable sutures could decrease the frequency of hypotony and the formation of a flat anterior chamber, as reported in the Meta-analysis by Zhou et al.[31]. The flat anterior chamber gives rise to bleb fibrosis and scarring, as previously mentioned. In addition, the IOP is elevated postoperatively and decreases after massage; therefore, timely removal of the releasable sutures could increase the aqueous outflow, thereby decreasing the opportunity for adhesion between the conjunctiva and sclera and ultimately preventing bleb fibrosis and scarring.

Several potential limitations of this case-control study should be considered. The first limitation is the retrospective study design, which was adopted because of the relatively low incidence of surgery failure following trabeculectomy. The retrospective study design had its own limitations, such as recall bias or information missing from the medical records. A second limitation was the limited number of surgery failure patients, which meant that the study had a small sample size and restricted our ability to obtain sufficient power to detect a statistically significant difference. However, despite these sample size limitations, we still found a positive association between the TSP-1 level and the prognosis of a trabeculectomy in patients with PACG. The third limitation, selection bias, is common to all case-control studies. The controls were selected from those patients who had experienced a successful trabeculectomy. However, representing all the true source patients is impossible, and the controlled selection may bias the study results. Fourth, in the present study, we only included the PACG patients. As another major subtype of glaucoma, POAG patients were not included in this study. In the future, larger and more rigorous studies included both PACG and POAG patients are required to provide a more comprehensive clinical guiding significance.

In conclusion, this study indicated a tendency for the aqueous humor TSP-1 and TGF-β2 levels to be higher in eyes that would eventually experience a failed trabeculectomy. The aqueous humor TSP-1 level was an independent risk factor associated with failed trabeculectomy. In the future, anti-TSP-1 monoclonal antibody-based therapeutics might be useful as therapy for prevention of bleb fibrosis and scarring. However, because of the limitations of the present study, the results should be interpreted with caution. Further studies with a larger number of subjects are needed to confirm the role of TSP-1 in bleb fibrosis and scarring following trabeculectomy in patients with PACG.

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REFERENCES


